



## **Notice of a public meeting of**

### **Audit and Governance Committee**

**To:** Councillors Pavlovic (Chair), Fisher (Vice-Chair), Lomas, Mason, Wann, Webb and Carr

**Date:** Friday, 21 January 2022

**Time:** 5.30 pm

**Venue:** Remote Meeting

**In view of the changing circumstances around the covid-19 pandemic, this meeting will be held remotely. Any decisions made will form recommendations to the chief operating officer.**

### **AGENDA**

#### **1. Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### **2. Minutes (Pages 1 - 16)**

To approve and sign the minutes of the meeting held on 16 November 2021 and 1 December 2021.

#### **3. Public Participation**

At this point in the meeting members of the public who have

registered to speak can do so. Please note that our registration deadlines have changed to 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering is 5.00pm on 19 January 2022. Members of the public can speak on agenda items or matters within the remit of the committee.

To register to speak please visit [www.york.gov.uk/AttendCouncilMeetings](http://www.york.gov.uk/AttendCouncilMeetings) to fill out an online registration form. If you have any questions about the registration form or the meeting please contact the Democracy Officer for the meeting whose details can be found at the foot of the agenda.

#### Webcasting of Public Meetings

Please note that, subject to available resources, this public meeting will be webcast including any registered public speakers who have given their permission. The public meeting can be viewed on demand at [www.york.gov.uk/webcasts](http://www.york.gov.uk/webcasts). During coronavirus, we've made some changes to how we're running council meetings. See our coronavirus updates ([www.york.gov.uk/COVIDDemocracy](http://www.york.gov.uk/COVIDDemocracy)) for more information on meetings and decisions.

Written representations in respect of items on this agenda should be submitted to Democratic Services by 5.00pm on 19 January 2022.

#### **4. Key Corporate Risks monitor 3 (Pages 17 - 58)**

This report provides an update on the key corporate risks for City of York Council.

#### **5. Corporate Governance Report (Pages 59 - 68)**

This report provides Members with updates in respect of:

- Investigatory Powers Commissioner's Office (IPCO) inspection
- Corporate Governance performance indicators update;
- Information Commissioners Office (ICO) published decision notices;
- Ombudsman cases from last report in October 2021 to 31st December 2021.

**6. Audit & Counter Fraud Plan & Consultation** (Pages 69 - 110)

This report provides an update on the delivery of the internal audit work programme for 2021/22 and on counter fraud activity undertaken so far in the year.

**7. Counter Fraud Framework** (Pages 111 - 154)

This report provides an update to the committee following the annual review of the counter fraud framework. It includes updates to the counter fraud and corruption policy, counter fraud strategy action plan, and to the fraud risk assessment. It also includes a brief summary of national and local trends in counter fraud.

**8. Internal Audit & Fraud Plan & Progress report** (Pages 155 - 160)

The purpose of the report is to seek members' views on priorities for internal audit work for 2022/23.

**9. Audit and Governance Work Plan** (Pages 161 - 164)

To receive a draft plan of reports currently expected to be presented to future meetings of the Committee up to April 2022.

**10. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements

- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

**我們也用您們的語言提供這個信息 (Cantonese)**

**এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)**

**Ta informacja może być dostarczona w twoim  
własnym języku. (Polish)**

**Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)**

**یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)**

** (01904) 551550**